

ACT WEALTH MANAGEMENT, LLC

FORM CRS CUSTOMER RELATIONSHIP SUMMARY – JUNE 30, 2020

ACT Wealth Management LLC (“ACT Wealth, “we” or “us”), is registered with the Securities and Exchange Commission (SEC) as an Investment Adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at investor.gov/crs, which also provides educational materials about broker-dealers, investment advisers, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE TO ME?

We offer investment advisory services to retail investors and to the extent specifically requested by a client, financial planning, and related consulting services. Our minimum account opening balance is \$500,000, which may be negotiable. ACT Wealth's Principals and representatives monitor your investment account on an ongoing basis, but not less than every quarter. Our portfolio management services are provided on a discretionary basis. Discretion means you grant us the authority to determine the securities and amount to be bought or sold without your consent prior to each trade. Non-discretionary means that you make the ultimate decision regarding the purchase and/or sale of investments.

For additional information, please see our Form ADV, Part 2A Brochure (Items 4 and 7 of Part 2A or Items 4.A. and 5 of Part 2A Appendix 1).

CONVERSATION STARTERS – ASK YOUR FINANCIAL PROFESSIONAL

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

WHAT FEES WILL I PAY?

Fees and costs affect the value of your account. We charge an on-going fee based upon a percentage (%) of the market value of assets placed under ACT Wealth for investment management services. Our fees reduce your investable assets and will be deducted from your account. The more assets there are in your account, the more you will pay in fees. However, should a client's assets decline from one quarter to the next, they will pay less in advisory fees. Please refer to our fee schedule in Form ADV, Part 2A Brochure Item 5. You pay our fee even if we do not trade in your account and if your account does not appreciate. Please ask your financial professional to give you personalized information on your fees and costs.

Some investments impose additional fees that will reduce the value of your investments over time such as mutual funds. You could be required to pay fees when certain investments are sold. You will also be charged custodial fees by the custodian that holds your account for things like wires, paper statements, overdraft fees, etc. In addition, you will pay transaction-based fees when we buy or sell certain mutual funds in your account.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see our Form ADV, Part 2A Brochure (Items 5.A., B, C, and D).

CONVERSATION STARTERS – ASK YOUR FINANCIAL PROFESSIONAL

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment advisor, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts.

ACT Wealth Management, LLC benefits from the advisory services we provide to you as your financial professional and are paid based on the value of the assets in your account(s).

As an example, our primary custodians provided some services and benefits to ACT Wealth Management such as reduced conference registration fees because of the amount of assets we custody with their firm. These perks could incent us to encourage you to maintain your account at a specific custodian. We do not receive any payment to maintain assets with specific custodians.

For additional information, please see our Form ADV, Part 2A Brochure.

CONVERSATION STARTERS – ASK YOUR FINANCIAL PROFESSIONAL

- How might your conflicts of interest affect me, and how will you address them?

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our financial professionals are compensated based on factors such as the amount of client assets they service, the time and complexity required to meet a client's needs, and/or revenue the firm earns from the financial professional's recommendations. When we act as your investment advisor, we must act in your best interest and not put our interest ahead of yours. ACT Wealth Management does not use loaded funds or receive 12B-1 fees from the investments we recommend.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

No. Please visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research ACT Wealth Management, LLC and our financial professionals.

CONVERSATION STARTERS – ASK YOUR FINANCIAL PROFESSIONAL

- As a financial professional, do you have any disciplinary history? For what type of conduct?

ADDITIONAL INFORMATION

For additional information on our investment advisory services, see our Form ADV brochure on IAPD at [Investor.gov, adviserinfo.sec.gov](https://www.investor.gov/adviserinfo.sec.gov) or on any brochure supplement provided. You may also find our ADV Brochure on our website at www.actwealthmanagement.com. If you would like additional, up-to-date information or a copy of this disclosure, please call (214) 618-2022 or contact us in writing at 7160 Dallas Parkway, Suite 230, Plano, Texas 75024.

CONVERSATION STARTERS – ASK YOUR FINANCIAL PROFESSIONAL

- Who is my primary contract person? Is he or she a representative of an investment-adviser or broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?